

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Leslie SPRING et al.

Appl. No.

10/813,874

Filed

March 30, 2004

For

SUPPORT APPLICATIONS FOR RICH MEDIA PUBLISHING

Art Unit

Examiner

2171

Not Yet Assigned

745 Fifth Avenue

New York, New York 10151

### **EXPRESS MAIL**

Mailing Label Number:

ED 450556698 US

Date of Deposit:

January 14, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Chiaki Kokka

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Mail Stop Missing Parts Commissioner for Patents Alexandria, VA 22313-1450

01/21/2005 MASFRW1 0000C017 500320

100130 PETITION UNDER 37 C. F. R. 1.47

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Sir:

Applicants hereby petition the Commissioner to accept the accompanying Declarations submitted under 37 CFR 1.47(a) for application for patent on behalf of the omitted

co-inventor Jose Armando Pena.

Accompanying this Petition and the Declarations is the requisite petition fee under 37 CFR 1.17(h) in the amount of \$130.00, the late declaration surcharge in the amount of \$130.00, and the five-month extension of time fee in the amount of \$2,160.00. Please charge any additional fees required to our Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Hans R. Mahr

Registration No. 46,138 Tel: (212) 588-0800

PATENT
Appl. No. 10/813,874

Aromey Docket No. 450103-04598.3

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Leslie SPRING et al.

Appl. No. : 10/813,874

Filed : March 30, 2004

For : SUPPORT APPLICATIONS FOR RICH MEDIA PUBLISHING

Art Unit : 2171

Examiner : Not Yet Assigned

745 Fifth Avenue

New York, New York 10151

### EXPRESS MAIL

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Chiaki Kokka

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Mail Stop Missing Parts Commissioner for Patents Alexandria, VA 22313-1450

# DECLARATION OF FACTS IN SUPPORT OF FILING ON BEHALF OF LIMITED INVENTOR

Sir:

The below-named individual declares that:

- (1) I am a patent attorney authorized to practice in the United States, and I am employed by Frommer Lawrence & Haug LLP, a patent firm who represent Sony Pictures Entertainment Inc. and Sony Corporation, the assignees of the above-identified application.
- (2) Our firm filed in the United States the patent application, which has been assigned Application No. 10/813,874 and the filing date of March 30, 2004.
- (3) U.S. Application 10/813,874 was filed with a declaration without the inventors' signatures. A Notice to File Missing Parts was issued on June 14, 2004, and I forwarded a copy of the Notice and a revised declaration form to be signed by all the Applicants to Applicants' assignees on June 30, 2004. On July 13, 2004, I sent same revised declaration form to Applicants' employer, Sony Pictures Entertainment Inc. However, on July 22, 2004, the Applicants' employer notified us that Mr. Jose Armando Pena was no longer employed by Sony Pictures Entertainment Inc. and provided us with his last-known address (1119 Calle Linares, Duarte, California 94010). On July 22, 2004, I forwarded a copy of the revised declaration to Mr. Pena. On October 6, 2004 and on November 15, 2004 I resent copies of the same declaration to Mr. Pena. On November 24, 2004, Mr. Pena confirmed in a telephone conversation with Sony Pictures Entertainment, Inc. that he had received the documents. On December 22, 2004, I resent copies of the same declaration to Mr. Pena. On January 3, 2005, I called to Mr. Pena at his current-in-use cell phone number and left a message asking to call me back, and on January 5, 2005, I called him at the same cell phone number again and left another message to remind him of that his signature for the declaration form is needed. To date, I have not received any response from him.

(4) On information and belief, Mr. Pena, who had been employed by Sony

Pictures Entertainment Inc, terminated his employment on October 23, 2003. It is reasonably

believed that he still resides at his last-known address, however, notwithstanding a good faith

effort on my part, I have been unable to received a signed declaration document from Mr. Pena.

I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true; and further that

these statements were made with the knowledge that willful false statements and the like so

made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the

application or any patent issued thereon.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Hans R Mahr

Registration No. 46,138

Tel: (212) 588-0800

# OMMER LAWRENCE & HAUG

# 4660 La Jolla Village Dr. Suite 850 San Diego, California 92122 Tel. (858) 731-5000 FAX: (858) 731-5001

July 22, 2004

## VIA MAIL ONLY

Mr. Jose Armando Pena 1119 Calle Linares Duarte, CA 94010

Re:

U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Dear Mr. Pena,

We have prepared and enclose a blank Declaration/Power of Attorney document and a blank Assignment for the patent applications referenced above. Please sign and date the documents as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc:

William S. Frommer (w/o enclosures)

# JAN 14 2005 Q

# COMMER LAWRENCE & HAUG \_\_P

# 4660 La Jolla Village Dr. Suite 850 San Diego, California 92122

SE, WAI VELL COLL OF

Tel. (858) 731-5000 FAX: (858) 731-5001

October 6, 2004

### **VIA MAIL ONLY**

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re: U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874 Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>October 14, 2004</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not he sitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc: Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures)

William S. Frommer (w/o enclosures)



# M. John Lawrence & Haug &

4660 LA JOLLA VILLAGE DR. SUITE 850 SAN DIEGO, CALIFORNIA 92122 Tel. (858) 731-5000 Fax. (858) 731-5001

November 15, 2004

## **VIA MAIL ONLY**

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re:

U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Response due: December 14, 2004

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a 2<sup>nd</sup> reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>December 14, 2004 (extended from August 14, 2004)</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

aus Mal

Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures)

William S. Frommer (w/o enclosures)



# FROMMER LAWRENCE & HAUG LLP

4660 La Jolla Village Dr. Sutte 850 San Diego, California 92122 Tel: (858) 731-5000 Fax: (858) 731-5001

December 22, 2004

## VIA CERTIFIED MAIL (USPS Certified Mail No. 7002 3150 0002 1769 4537)

Mr. Jose Armando Peña 1119 Calle Linares Duarte, CA 94010

Re: U.S. Patent Applications No. 10/813,868, No. 10/813,858, and No. 10/813,874

Our Ref.: 450103-04598.1, 450103-04598.2, and 450103-04598.3

Response due: January 14, 2005 (non-extendable)

Dear Mr. Peña,

In connection with the patent applications referenced above, this is sent as a 2<sup>nd</sup> reminder that the due date for filing signed Declaration/Power of Attorney documents and signed Assignment documents is <u>January 14, 2005 (no further extension is available)</u>. Please sign and date the blank documents attached herewith as indicated and then return the signed original documents to us. We will file the signed documents with the U.S. Patent & Trademark Office.

Thank you for your cooperation in this matter. Please do not hesitate to contact me if you have any questions.

Sincerely yours,

Hans R. Mahr

HRM:ck

Enclosures:

1. Declaration for Patent Application and Power of Attorney (blank)

2. Assignment (blank)

cc: Ms. Carrie Merzbacher, SEL (w/o enclosures)

Ms. Susie Oh, SPE (w/o enclosures) William S. Frommer (w/o enclosures)

> NEW YORK OFFICE 745 FIFTH AVENUE NEW YORK, NY 10151 Tel.: (212) 588-0800 Fax: (212) 588-0500

U.S. Postal Service 13 CERTIFIED MAIL- RECEIPT 1769 Postage 0002 0002 Certified Fee Postmark Return Reciept Fee (Endorsement Required) Here 3150 Restricted Delivery Fee (Endorsement Required) Total Postage & Fees | \$ Sent Ta Mr. Jose Armando Peña Street, Apt. No.; or PO Box No. 1119 Calle Linares 94010

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